

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: L. Keith Rogerson)	Examiner: Unknown
)	
Serial No.: Unassigned)	Group Art Unit: Unknown
)	
Filed: Herewith)	Our Customer ID: 22827
)	
Confirmation No.: Unassigned)	Our Account No. 04-1403
)	
For: Shackle Pocket Buoy)	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
Post Office Box 1450
Alexandria, VA 22313-1450

Sir:

The attached Information Disclosure Statement is submitted for the Examiner's consideration in accordance with the Applicant's duty of disclosure. Although the documents provided in this Information Disclosure Statement are submitted for the Examiner's convenience, Applicant does not admit of their relevance as prior art to the present application under 35 U.S.C. §102 and §103 nor of their pertinence in other respects.

The Examiner is encouraged to contact the undersigned at his/her convenience should he/she have any questions regarding this matter or require any additional information.

Respectfully submitted,

DORITY & MANNING,
ATTORNEYS AT LAW, P.A.

Date: 1-29-04



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CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: _____

Signature: _____

Address: _____

Date: _____

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DORITY & MANNING, P.A.

By: Bernard S. Klosowski, Jr.

Reg. No: 47,710

Signature: B.S.K.

Date: 1-29-04

(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number:	Serial Number:
	RLK-32	Unassigned
	Applicant: L. Keith Rogerson	
	Filing Date: Herewith Confirmation No: Unassigned	Group Art Unit: Unassigned

NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

(1) This item is cumulative, per Rule 98(c)
 (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
 USSN _____, filed _____, or
 USSN _____, filed _____;
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 (3) Both reasons (1) and (2) apply
 (4) No legible complete copy is possessed, in custody of controlled, or readily available
 (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS												
EXAMINER INITIALS		PATENTEE NAME		PATENT NUMBER						ISSUE DATE	COPY NOTE	
		Puchois		3	9	5	0	8	0	6	4/20/1976	5
		Clark		3	9	9	2	9	3	4	11/23/1976	5
		Hart		4	9	3	2	7	0	0	6/12/1990	5
		Pratt, Jr.		5	2	1	2	9	3	9	5/25/1993	5
		Wolf et al.		5	3	9	0	6	1	8	2/21/1995	5
		Bonaiti et al.		6	2	8	2	8	7	9	11/4/2001	5
		Cordoba		6	5	3	2	8	8	5	3/18/2003	5

FOREIGN PATENT DOCUMENTS										
EXAMINER INITIALS		COUNTRY	DOCUMENT NUMBER			PUBLICATION DATE	TRANSLATION			COPY NOTE
							YES	NO	N/A	

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication				COPY NOTE
EXAMINER	DATE CONSIDERED				
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.					

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INFORMATION DISCLOSURE STATEMENT

In re Application of: L. Keith Rogerson

Attorney Docket No: RLK-32

Serial No: Unassigned

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Confirmation No: Unassigned

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Title: Shackle Pocket Buoy

Our Account No: 04-1403

Commissioner for Patents
 U.S. Patent and Trademark Office
 Post Office Box 1450
 Alexandria, VA 22313-1450

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[x] Attached hereto is:

- a.[x] A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b.[x] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):
7 item(s)
- c. [] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____

[] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2.[x] This Information Disclosure Statement is being filed [CHECK ONE]:

- a.[x] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. [] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
 - i. [] Certification per Rule 97(e); OR
 - ii[] Filing Fee per Rule 17(p)\$180.00
- c. [] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
 - i. Certification per Rule 97(e); AND
 - ii. Filing fee per Rule 17(p)\$180.00

3. [] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. [] That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. [] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.